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6-10-03

EFS ID: 41107
Application ID: 09771645
Title of Invention: A Seating Unit
First Named Inventor: Ian Dryburgh
Domestic/Foreign Application: Domestic Application
Filing Date: 2001-01-30
Effective Receipt Date: 2003-05-28
Submission Type: Information Disclosure
Statement
Filing Type:
Confirmation number: 1606
Attorney Docket Number: 1487.0050003



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**TRANSMITTAL**

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Title of Invention	A Seating Unit														
<table><tr><td>Application Number: 09/771645</td><td rowspan="4">RECEIVED JUN 02 2003 GROUP 3600</td></tr><tr><td>Date: 2001-01-30</td></tr><tr><td>First Named Applicant: Ian Dryburgh</td></tr><tr><td>Confirmation Number: 1606</td></tr><tr><td colspan="2">Attorney Docket Number: 1487.0050003</td></tr></table>		Application Number: 09/771645	RECEIVED JUN 02 2003 GROUP 3600	Date: 2001-01-30	First Named Applicant: Ian Dryburgh	Confirmation Number: 1606	Attorney Docket Number: 1487.0050003								
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<p>I hereby certify that the use of this system is for OFFICIAL correspondence between patent applicants or their representatives and the USPTO. Fraudulent or other use besides the filing of official correspondence by authorized parties is strictly prohibited, and subject to a fine and/or imprisonment under applicable law.</p> <p>I, the undersigned, certify that I have viewed a display of document(s) being electronically submitted to the United States Patent and Trademark Office, using either the USPTO provided style sheet or software, and that this is the document(s) I intend for initiation or further prosecution of a patent application noted in the submission. This document(s) will become part of the official electronic record at the USPTO.</p> <table border="1"><thead><tr><th>Submitted by:</th><th>Elec. Sign.</th><th>Sign. Capacity</th></tr></thead><tbody><tr><td>Linda E. Alcorn Registered Number: 39,588</td><td>/lea/</td><td>Attorney</td></tr></tbody></table>		Submitted by:	Elec. Sign.	Sign. Capacity	Linda E. Alcorn Registered Number: 39,588	/lea/	Attorney								
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<table><tr><td>Documents being submitted</td><td>Files</td></tr><tr><td>us-ids</td><td>IDS14870050003-usidst.xml</td></tr><tr><td></td><td>us-ids.dtd</td></tr><tr><td></td><td>us-ids.xsl</td></tr><tr><td>us-fee-sheet</td><td>IDS14870050003-usfees.xml</td></tr><tr><td></td><td>us-fee-sheet.xsl</td></tr><tr><td></td><td>us-fee-sheet.dtd</td></tr></table>		Documents being submitted	Files	us-ids	IDS14870050003-usidst.xml		us-ids.dtd		us-ids.xsl	us-fee-sheet	IDS14870050003-usfees.xml		us-fee-sheet.xsl		us-fee-sheet.dtd
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Comments

This IDS is being filed as two submissions on the same day. One submission is electronic and one submission is non-electronic. It is believed that only one fee under 37 C.F.R. 1.17(p) is required to cover both submissions. That fee is included with this electronic submission since this submission is expected to be processed first.



FEE TRANSMITTAL

Electronic Version v08
Stylesheet Version v08.0

Title of Invention	A Seating Unit								
<p>Application Number: 09/771645 Date: 2001-01-30 First Named Applicant: Ian Dryburgh Attorney Docket Number: 1487.0050003 Art Unit: 3636 Examiner: Anthony DerrellBarfield</p> <p style="text-align: right;">RECEIVED JUN 02 2003 GROUP 3600</p>									
TOTAL FEE AUTHORIZED \$180 Patent fees are subject to annual revisions on or about October 1st of each year.									
BASIC FILING FEE									
<table border="1"><thead><tr><th>Fee Description</th><th>Fee Code</th><th>Amount \$</th><th>Fee Paid \$</th></tr></thead><tbody><tr><td>Submission Of Information Disclosure Stmt Fee</td><td>1806</td><td>180</td><td>180</td></tr></tbody></table>		Fee Description	Fee Code	Amount \$	Fee Paid \$	Submission Of Information Disclosure Stmt Fee	1806	180	180
Fee Description	Fee Code	Amount \$	Fee Paid \$						
Submission Of Information Disclosure Stmt Fee	1806	180	180						
AUTHORIZED BILLING INFORMATION The commissioner is hereby authorized to charge indicated fees and credit any overpayments to: Credit account number: 1001 Expiration Date (YYYYMMDD): 2004-06-30 Authorized name: SKGF P.L.L.C. Billing address: 20005									

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Federal Agencies

May 28, 2003

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Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

Art Unit 3636

Re: U.S. Utility Patent Application
Appl. No. 09/771,645; Filed: January 30, 2001
For: A Seating Unit
Inventor(s): Dryburgh *et al.*
Our Ref: 1487.0050003/DKSC/JDS

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Sir:

Transmitted herewith for appropriate action are the following documents:

1. Information Disclosure Statement;
2. Forms PTO-1449, twelve (12) pages;
3. Copies of Document Nos. AL12-AN12; and
4. Return postcard.

It is respectfully requested that the attached postcard be stamped with the date of filing of these documents, and that it be returned to our courier. In the event that extensions of time are necessary to prevent abandonment of this patent application, then such extensions of time are hereby petitioned.

Commissioner for Patents
May 28, 2003
Page 2

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.



David K. S. Cornwell
Attorney for Applicants
Registration No. 31,944

DKSC/JDS/la
Encls.

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Dryburgh *et al.*

Appl. No. 09/771,645

Filed: January 30, 2001

For: A Seating Unit

Confirmation No. 1606

Art Unit: 3636

Examiner: Barfield, Anthony Derrell

Atty. Docket: 1487.0050003/DKSC/JDS

Information Disclosure Statement

Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

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Listed on accompanying Forms PTO-1449 are documents that may be considered material to the examination of this application, in compliance with the duty of disclosure requirements of 37 C.F.R. §§ 1.56, 1.97 and 1.98.

Where the publication date of a listed document does not provide a month of publication, the year of publication of the listed document is sufficiently earlier than the effective U.S. filing date and any foreign priority date so that the month of publication is not in issue. Applicants have listed publication dates on the attached Forms PTO-1449 based on information presently available to the undersigned. However, the listed publication dates should not be construed as an admission that the information was actually published on the date indicated.

Applicants reserve the right to establish the patentability of the claimed invention over any of the information provided herewith, and/or to prove that this information may not be prior art, and/or to prove that this information may not be enabling for the teachings purportedly offered.

This statement should not be construed as a representation that a search has been made, or that information more material to the examination of the present patent application does not exist. The Examiner is specifically requested not to rely solely on the material submitted herewith.

Applicants have checked the appropriate boxes below.

- ☐ 1. Statement under 37 C.F.R. 1.704(d). Each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application and this communication was not received by any individual designated in 37 C.F.R. § 1.56(c) more than thirty days prior to the filing of this information disclosure statement.
- ☐ 2. Filing under 37 C.F.R. § 1.97(b). This Information Disclosure Statement is being filed within three months of the date of filing of a national application other than a continued prosecution application (CPA), OR within three months of the date of entry of the national stage as set forth in 37 C.F.R. § 1.491 in an international application, OR before the mailing date of a first Office Action on the merits OR before the mailing of a first Office Action after the filing of a request for continued examination under 37 C.F.R. § 1.114. No statement or fee is required.
- ☒ 3. Filing under 37 C.F.R. § 1.97(c). This Information Disclosure Statement is being filed more than three months after the U.S. filing date AND after the mailing date of the first Office Action on the merits, but before the mailing date of a Final Rejection, or Notice of Allowance, or an action that otherwise closes prosecution in the application.
 - ☐ a. Statement under 37 C.F.R. § 1.97(e)(1). I hereby state that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to

the filing of this Information Disclosure Statement. 37 C.F.R. § 1.97(e)(1).

- ☐ b. Statement under 37 C.F.R. § 1.97(e)(2). I hereby state that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. § 1.97(e)(2).
- ☒ c. Payment of the fee under 37 C.F.R. § 1.17(p) in the amount of \$180.00 is provided for in Applicants' Electronic Information Disclosure Statement, submitted electronically and concurrently herewith (on May 28, 2003). No further fee is believed due.
- ☐ 4. Filing under 37 C.F.R. § 1.97(d) This Information Disclosure Statement is being filed more than three months after the U.S. filing date and after the mailing date of a Final Rejection or Notice of Allowance, but before payment of the Issue Fee. Enclosed find our Check No. _____ in the amount of \$ _____ in payment of the fee under 37 C.F.R. § 1.17(p); in addition:
 - ☐ a. Statement under 37 C.F.R. § 1.97(e)(1). I hereby state that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. § 1.97(e)(1).
 - ☐ b. Statement under 37 C.F.R. § 1.97(e)(2). I hereby state that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. § 1.97(e)(2).

- 5. The document(s) was/were cited in a search report by a foreign patent office in a counterpart foreign application. Submission of an English language version of the search report that indicates the degree of relevance found by the foreign office is provided in satisfaction of the requirement for a concise explanation of relevance. 1138 OG 37, 38.
- ☒ 6. With the exception of Document Nos. AL12-AN12, a concise explanation of the relevance of the non-English language document(s) appears in the IDS filed in U.S. Application No. 09/182,055 filed October 29, 1998, which is relied upon for an earlier filing date under 35 U.S.C. § 120.

Document No. AL12 appears to disclose a seating unit having an electric motor for driving a trolley.

Document No. AM12 appears to disclose an aircraft having a plurality of seating units.

Document No. AN12 appears to disclose a seat unit having a back, seat and leg portion.

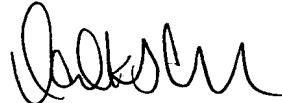
- ☒ 7. With the exception of Document Nos. AL12-AN12, copies of the documents were cited by or submitted to the Office in an IDS that complies with 37 C.F.R. § 1.98(a)-(c) in Application No. 09/182,055 filed October 29, 1998, which is relied upon for an earlier filing date under 35 U.S.C. § 120. Thus, copies of these documents are not attached. 37 C.F.R. § 1.98(d). Copies of Document Nos. AL12-AN12 are attached hereto.

It is respectfully requested that the Examiner initial and return a copy of the enclosed PTO-1449, and indicate in the official file wrapper of this patent application that the documents have been considered.

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.



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Date: May 28, 2003

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